permits be valid for longer than one year.

#### §15.25 Permits for personal pets.

- (a) Application requirements for personal pets not intended for sale. No individual may import more than two exotic birds as pets in any year. Each application shall provide the following information and such other information that the Director may require:
- (1) A description of the exotic bird to be imported, including;
- (i) The common and scientific names, number, age, and, when known, sex;
- (ii) A band number, house name, or any other unique identifying feature; and
- (iii) A statement as to whether the exotic bird was bred in captivity or taken from the wild;
- (2) A statement of the reasons the applicant is justified in obtaining a permit:
- (3) Documentation showing that the applicant has continually resided outside of the United States for a minimum of one year;
- (4) A statement of the number of exotic birds imported during the previous 12 months as personal pets by the applicant;
- (5) Information on the origin of the exotic bird, including:
  - (i) Country of origin; and
- (ii) A description and documentation of how the exotic bird was acquired, including a copy of any Convention permit under which the bird was re-exported or exported. If there is no such permit, a sales receipt or signed statement from seller with name and address of seller, date of sale, species, and other identifying information on the bird or signed breeder's certificate or statement with name and address of breeder, date of sale or transfer, species and hatch date.
- (b) Issuance criteria. Upon receiving an application completed in accordance with paragraph (a) of this section, the Director will decide whether or not a permit should be issued. In making this decision, the Director shall consider, in addition to the general criteria in part 13 of this subchapter, the following factors:

- (1) Whether the proposed import would be detrimental to the survival of the exotic bird species in the wild;
- (2) Whether the exotic bird to be imported is a personal pet owned by the applicant, who has continuously resided outside the United States for a minimum of one year, and who has no intention to sell the bird; and
- (3) Whether the number of exotic birds imported in the previous 12 months by the applicant does not exceed two.
- (c) Permit conditions. In addition to the general conditions set forth in part 13 of this subchapter, every permit issued under this section shall be subject to special conditions that no individual may import more than two exotic birds as personal pets in any year, the exotic birds cannot be sold after importation into the United States, and any other conditions as the Director may deem appropriate.
- (d) Duration of permits. The duration of the import permits issued under this section shall be designated on the face of the permit.

### § 15.26 Approval of cooperative breeding programs.

Upon receipt of a complete application, the Director may approve cooperative breeding programs. Such approval will allow individuals to import exotic birds otherwise prohibited by section 15.11, with permits under section 15.24. Such approval for cooperative breeding programs shall be granted in accordance with the issuance criteria of this section.

- (a) Application requirements for approval of cooperative breeding programs. Each application shall provide the following information and such other information that the Director may require:
- (1) A description of the exotic bird(s) to be imported or to be covered under the program, including the common and scientific names of the species, number, sex ratio (if applicable), and age class:
- (2) A statement of the reasons the applicant is justified in obtaining this approval, and a description of the cooperative breeding program requested for the exotic bird species, including:

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- (i) A breeding protocol, including a genetic management plan and breeding methods:
- (ii) A statement on the plans for developing and maintaining a self-sustaining population in captivity of the exotic bird species;
- (iii) Details on the system of recordkeeping and tracking of birds and their progeny, including how individual specimens will be marked or otherwise identified:
- (iv) A statement on the relationship of such a breeding program to the conservation of the exotic bird species in the world;
- (v) Details on the funding of this program; and
- (vi) Plans for disposition of the exotic birds and any progeny;
- (3) A qualification statement for each individual who will be overseeing the cooperative breeding program. This statement should include information on the individual's prior experience with the same or similar bird species. Individuals overseeing the program will be required to demonstrate an affiliation with an avicultural, conservation, or zoological organization;
- (4) A statement of the oversight of the program by the avicultural, zoological, or conservation organization, including their monitoring of participation in the program, criteria for acceptance of individuals into the program, and the relationship of the cooperative breeding program to enhancing the propagation and survival of the species; and
- (5) A history of the cooperative breeding program, including an annual report for the last 3 years (if applicable), mortality records, breeding records, and a studbook if one has been developed for the species.
- (b) Issuance criteria. Upon receiving an application completed in accordance with paragraph (a) of this section, the Director will decide whether or not a cooperative breeding program should be approved. In making this decision, the Director shall consider, in addition to the general criteria in part 13 of this subchapter, the following factors:
- (1) Whether the cooperative breeding program for which the approval is requested is adequate to justify removing

- the exotic bird from the wild or otherwise changing its status;
- (2) Whether the granting of this approval would be detrimental to the survival of the exotic bird species in the wild, including whether the exotic birds were bred in captivity or will be taken from the wild, taking into consideration the conservation status of the species in the wild:
- (3) Whether the granting of this approval would conflict with any known program intended to enhance the survival of the population from which the exotic bird species was or would be removed:
- (4) Whether the cooperative breeding program for which the permit is requested would be likely to enhance or promote the conservation of the exotic bird species in the wild or result in a self-sustaining population of the exotic bird species in captivity; and
- (5) Whether the expertise or other resources available to the program appear adequate to successfully accomplish the objectives stated in the application.
- (c) Publication in the FEDERAL REGISTER. The Director shall publish notice in the FEDERAL REGISTER of each application submitted under §15.26(a). Each notice shall invite the submission from interested parties of written data, views, or arguments with respect to the application. The Director shall publish periodically a notice as appropriate in the FEDERAL REGISTER of the list of approved cooperative breeding programs.
- (d) Approval conditions. In addition to the general conditions set forth in part 13 of this subchapter, every approval issued under this paragraph shall be subject to the special condition that the cooperative breeding program shall maintain records of all birds imported under permits issued under this subpart and their progeny, including their sale or transfer, death, or escape, and breeding success. These records shall be made available to the Service on request and when renewing an approval.
- (e) Duration of approval. Cooperative breeding programs shall be approved for two years, at which time applicants may apply to the Service for renewal of a program's approval. Applications for

renewal of approval shall comply with the general conditions set forth in part 13 of this subchapter.

# Subpart D—Approved List of Species Listed in the Appendices to the Convention.

SOURCE: 59 FR 62262, Dec. 2, 1994, unless otherwise noted.

## § 15.31 Criteria for including species in the approved list for captive-bred species.

The Director will periodically review the list of captive-bred exotic bird species in paragraph 15.33(a), for which importation into the United States is approved. Any exotic bird species listed in paragraph 15.33(a) pursuant to this section must meet all of the following criteria:

- (a) All specimens of the species known to be in trade (legal or illegal) are captive-bred;
- (b) No specimens of the species are known to be removed from the wild for commercial purposes;
- (c) Any importation of specimens of the species would not be detrimental to the survival of the species in the wild; and
- (d) Adequate enforcement controls are in place to ensure compliance with paragraphs (a) through (c) of this section

# §15.32 Criteria for including species in the approved list for non-captive-bred species.

Upon receipt of a completed sustainable use management plan for a country of export, the Director may approve a species listed in Appendices II or III of the Convention for importation from that country. Such approval shall be granted in accordance with the issuance criteria of this section. All approved species and countries of export will be listed in section 15.33.

(a) Requirements for scientifically-based sustainable use management plans. Sustainable use management plans developed by the country of export should be submitted for species which breed in the country of export. If the species does not breed in the country of export, the Service will consider sustainable use management plans only when the

plan is scientifically valid and nesting (breeding) information can be provided from countries in which the species breeds. Sustainable use management plans shall include the following information, and any other information that may be appropriate:

- (1) Background information, including the following:
- (i) The scientific and common name of the species;
- (ii) Letters from the country of export's Management and Scientific Authorities transmitting the management plan of this species;
- (iii) A summary of the country of export's legislation related to this species and legislation implementing the Convention, and, where appropriate, a summary of implementing regulations;
- (iv) A summary, from the country of export's Management Authority, of the country's infrastructure and law enforcement and monitoring mechanisms designed to ensure both enforcement of and compliance with the requirements of the management plan, and that the number of birds removed from the wild or exported will be consistent with the management plan:
- (v) Recent information on the distribution of the species within the country of export, including scientific references and maps, and historical information on distributions, if relevant; and
- (vi) The species' status and its current population trend in the country of export, including scientific references and copies of the most recent non-detriment findings made by the exporting country's Scientific Authority.
  - (2) Habitat information, including:
- (i) A general description of habitats used by the species for each portion of the life cycle completed within the country of export;
- (ii) Recent information on the size and distribution of these habitats throughout the country of export and in each area or region of take, including scientific references and maps. The approximate location of any reserves that provide protection for this species should be indicated on the accompanying map(s), along with a brief description of how reserves are protected and how that protection is enforced;